



Initial Risk Register and Ethical Compliance Assurance documentation and periodic updates

D1.2: Initial Risk Register and Ethical Compliance Assurance documentation and periodic updates

WP1, T1.2.2

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Abstract

This document comes from the implementation of the T1.2.2 “Initial Risk Register and Ethical Compliance Assurance documentation and periodic updates” and represents a guide on ethical and risk management principles which will lead the project by highlighting the data that the project can acquire, process or disclose either internally within the consortium, or even partially to external counterparts, during and after the project lifetime, as well as the risk management process.

This document will provide indications on the procedures to be followed to avoid and/or mitigate potential risks. It will also ensure that all the ethical issues arising in will be properly addressed.

This document contributes to specific provisions, in relation to data concerning either individuals and/or their organisations, to make sure that all data are treated in compliance with the principles of the EC.

Given the fact that the project will handle personal data, the consortium is subject to the General Data Protection Act (GDPR) which comes into force in the course of the project, in May 2023.

Given the nature of the data and activities of the project, the project must obtain informed consent with regard to personal data obtained from the members of its networks and communities of stakeholders. This can be done using an online tick-box, and by observing specific guidelines provided in detail in this document.

In our case there is no need to carry out a data protection impact assessment or to appoint a dedicated data protection officer. The project will not solicit or process “special categories” of data and so there is no need to obtain an authorisation from competent EU or member state authorities. Indeed, all COREnet partners are EU member states.

Besides data protection and ethical principles, this document embodies The Risk Management Plan, which defines and documents the risk management process for COREnet. A plan of this nature depicts how risks will be identified and assessed, the relevant roles and responsibilities, how often risks need to be revisited, etc. The Risk Management Plan also defines the risk monitoring and the escalation process. Evaluating risk in this manner ensures visibility and accountability regarding how risks are handled and ensures that risks associated with the COREnet project are proactively dealt with and regularly monitored and controlled.

This document is to be considered a working document. Although listed as a deliverable, we aim to keep reflecting on the ethical impact of project activities.

This document will evolve through time, accordingly with the evolution of COREnet.

